

**NB Appendix**  
**CIP-003-5-NB-1 - Cyber Security – Security Management Controls**

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This appendix establishes modifications to the FERC approved NERC standard CIP-003-5 for its specific application in New Brunswick. This appendix must be read with CIP-003-5 to determine a full understanding of the requirements of the standard for New Brunswick. Where the standard and appendix differ, the appendix shall prevail.

The term “BES Cyber Asset” as used in this Appendix or CIP-003-5 means “BPS Cyber Asset” as defined in section G.

The term “BES Cyber System” as used in this Appendix or CIP-003-5 means “BPS Cyber System” as defined in section G.

**A. Introduction**

1. **Title:** **Cyber Security – Security Management Controls**
2. **Number:** CIP-003-5
3. **Purpose:** To specify consistent and sustainable security management controls that establish responsibility and accountability to protect BES Cyber Systems against compromise that could lead to misoperation or instability in the bulk power system.
4. **Applicability:**
  - 4.1. **Functional Entities:** No New Brunswick modifications
    - 4.1.1 No New Brunswick modifications
    - 4.1.2 **Distribution Provider** that owns one or more of the following Facilities, systems, and equipment for the protection or restoration of the bulk power system:
      - 4.1.2.1 No New Brunswick modifications
        - 4.1.2.1.1 is part of a Load shedding program that is subject to one or more requirements in a New Brunswick Energy and Utilities Board approved reliability standard or Regional Reliability Standard;

and

**4.1.2.1.2** No New Brunswick modifications

**4.1.2.2** Each Special Protection System or Remedial Action Scheme where the Special Protection System or Remedial Action Scheme is subject to one or more requirements in a New Brunswick Energy and Utilities Board approved reliability standard or Regional Reliability Standard.

**4.1.2.3** Each Protection System (excluding UFLS and UVLS) that applies to Transmission where the Protection System is subject to one or more requirements in a New Brunswick Energy and Utilities Board approved reliability standard or Regional Reliability Standard.

**4.1.2.4** No New Brunswick modifications

**4.1.3** No New Brunswick modifications

**4.1.4** No New Brunswick modifications

**4.1.5** No New Brunswick modifications

**4.1.6** No New Brunswick modifications

**4.1.7** No New Brunswick modifications

**4.1.8** No New Brunswick modifications

**4.2. Facilities:** No New Brunswick modifications

**4.2.1 Distribution Provider:** One or more of the following Facilities, systems and equipment owned by the Distribution Provider for the protection or restoration of the bulk power system:

**4.2.1.1** No New Brunswick modifications

**4.2.1.1.1** is part of a Load shedding program that is subject to one or more requirements in a New Brunswick Energy and Utilities Board approved reliability standard

or Regional Reliability Standard;  
and

**4.2.1.1.2** No New Brunswick modifications

**4.2.1.2** Each Special Protection System or Remedial Action Scheme where the Special Protection System or Remedial Action Scheme is subject to one or more requirements in a New Brunswick Energy and Utilities Board approved reliability standard or Regional Reliability Standard.

**4.2.1.3** Each Protection System (excluding UFLS and UVLS) that applies to Transmission where the Protection System is subject to one or more requirements in a New Brunswick Energy and Utilities Board approved reliability standard or Regional Reliability Standard.

**4.2.1.4** No New Brunswick modifications

**4.2.2 Responsible Entities listed in 4.1 other than Distribution Providers:**

All bulk power system Facilities.

**4.2.3 Exemptions:** No New Brunswick modifications

**4.2.3.1** No New Brunswick modifications

**4.2.3.2** No New Brunswick modifications

**4.2.3.3** No New Brunswick modifications

**4.2.3.4** No New Brunswick modifications

**5. Effective Dates:**

CIP-003-5 shall become effective at the same time that CIP-003-6 becomes effective in New Brunswick.

**6. Background:**

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

No New Brunswick modifications

Many references in the Applicability section use a threshold of 300 MW for UFLS and UVLS. This particular threshold of 300 MW for UVLS and UFLS was provided in Version 1 of the CIP Cyber Security Standards. The threshold remains at 300 MW since it is specifically addressing UVLS and UFLS, which are last ditch efforts to save the bulk power system. A review of UFLS tolerances defined within regional reliability standards for UFLS program requirements to date indicates that the historical value of 300 MW represents an adequate and reasonable threshold value for allowable UFLS operational tolerances.

**B. Requirements and Measures**

**R1.** No New Brunswick modifications

1.1 No New Brunswick modifications

1.2 No New Brunswick modifications

1.3 No New Brunswick modifications

1.4 No New Brunswick modifications

1.5 No New Brunswick modifications

1.6 No New Brunswick modifications

1.7 No New Brunswick modifications

1.8 No New Brunswick modifications

1.9 No New Brunswick modifications

**M1.** No New Brunswick modifications

**R2.** No New Brunswick modifications

2.1 No New Brunswick modifications

2.2 No New Brunswick modifications

2.3 No New Brunswick modifications

2.4 No New Brunswick modifications

No New Brunswick modifications

**M2.** No New Brunswick modifications

- R3.** No New Brunswick modifications
- M3.** No New Brunswick modifications
- R4.** No New Brunswick modifications
- M4.** No New Brunswick modifications

**C. Compliance**

**1. Compliance Monitoring Process**

**1.1. Compliance Enforcement Authority:**

The New Brunswick Energy and Utilities Board shall serve as the Compliance Enforcement Authority ("CEA").

**1.2. Evidence Retention:**

No New Brunswick modifications

**1.3. Compliance Monitoring and Assessment Processes:**

No New Brunswick modifications

**1.4. Additional Compliance Information:**

- No New Brunswick modifications

## 2. Table of Compliance Elements

| R # | Time Horiz on                  | VRF | Violation Severity Levels (CIP-003-5) |              |          |            |
|-----|--------------------------------|-----|---------------------------------------|--------------|----------|------------|
|     |                                |     | Lower VSL                             | Moderate VSL | High VSL | Severe VSL |
| R1  | No New Brunswick modifications |     |                                       |              |          |            |
| R2  |                                |     |                                       |              |          |            |
| R3  |                                |     |                                       |              |          |            |
| R4  |                                |     |                                       |              |          |            |

### **D. Regional Variances**

No New Brunswick modifications

### **E. Interpretations**

No New Brunswick modifications

### **F. Associated Documents**

No New Brunswick modifications

### **G. New Brunswick Definitions**

BPS Cyber Asset: A Cyber Asset that if rendered unavailable, degraded, or misused would, within 15 minutes of its required operation, misoperation, or non-operation, adversely impact one or more Facilities, systems, or equipment, which, if destroyed, degraded, or otherwise rendered unavailable when needed, would affect the reliable operation of the bulk power system. Redundancy of affected Facilities, systems, and equipment shall not be considered when determining adverse impact. Each BPS Cyber Asset is included in one or more BPS Cyber Systems. (A Cyber Asset is not a BPS Cyber Asset if, for 30 consecutive calendar days or less, it is directly connected to a network within an ESP, a Cyber Asset within an ESP, or to a BPS Cyber Asset, and it is used for data transfer, vulnerability assessment, maintenance, or troubleshooting purposes.)

BPS Cyber System: One or more BPS Cyber Assets logically grouped by a responsible entity to perform one or more reliability tasks for a functional entity.

## **Guidelines and Technical Basis**

### **Section 4 – Scope of Applicability of the CIP Cyber Security Standards**

No New Brunswick modifications

Section “4.1. Functional Entities” is a list of functional entities to which the standard applies. If the entity is registered as one or more of the functional entities listed in Section 4.1, then the CIP Cyber Security Standards apply. Note that there is a qualification in Section 4.1 that restricts the applicability in the case of Distribution Providers to only those that own certain types of systems and equipment listed in 4.2.

No New Brunswick modifications

#### **Requirement R1:**

No New Brunswick modifications

- 1.1 No New Brunswick modifications
- 1.2 No New Brunswick modifications
- 1.3 No New Brunswick modifications
- 1.4 No New Brunswick modifications
- 1.5 No New Brunswick modifications
- 1.6 No New Brunswick modifications
- 1.7 No New Brunswick modifications
- 1.8 No New Brunswick modifications
- 1.9 No New Brunswick modifications

No New Brunswick modifications

In this and all subsequent required approvals in the CIP Standards, the Responsible Entity may elect to use hardcopy or electronic approvals to the extent that there is sufficient evidence to ensure the authenticity of the approving party.

#### **Requirement R2:**

No New Brunswick modifications

#### **Requirement R3:**

No New Brunswick modifications

#### **Requirement R4:**

No New Brunswick modifications

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**Rationale:**

No New Brunswick modifications

**Rationale for R1:**

No New Brunswick modifications

**Rationale for R2:**

No New Brunswick modifications

**Rationale for R3:**

No New Brunswick modifications

**Rationale for R4:**

No New Brunswick modifications

**Version History**

| <b>Version</b> | <b>NBEUB<br/>Approval<br/>Date</b> | <b>NB<br/>Appendix<br/>Effective<br/>Date</b> | <b>Change Tracking</b>                                                                                                                                                                                                                                                         | <b>Comments</b>                                                                                       |
|----------------|------------------------------------|-----------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| 0              | 06/25/14                           | 07/01/17                                      | 1. Bulk Electric System(BES)replaced with "bulk power system" throughout<br><br>2. Revised Section A to replace "NERC" with "New Brunswick Energy and Utilities Board approved reliability standard"<br><br>3. Revised Section C to clarify the NBEUB as the entity having the | Approved Under NBEUB Matter 246<br><br>Effective Dates:<br>R1,R3,R4<br>07/01/16<br><br>R2<br>07/01/17 |

**NB Appendix  
Guidelines and Technical Basis**

|   |          |          |                                                                                                                                                |                                                                                                                                          |
|---|----------|----------|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
|   |          |          | compliance enforcement authority for NB 4. Revised the Guidelines to remove reference to NERC for functional entities                          |                                                                                                                                          |
| 0 | 11/28/14 | 11/28/14 | 1. Approved FERC revisions to the VSLs for R1 and R2 in Section C with no NB modifications.                                                    | Approved Under NBEUB Matter 266                                                                                                          |
| 0 | 06/25/14 | 07/01/16 | 1. On 09/02/15 added comments to R2 to clarify the effective dates for low, medium and high impact BPS Cyber Systems.                          | Effective dates:<br>R2 for medium and high impact BPS Cyber Systems.<br>07/01/16<br><br>R2 for low impact BPS Cyber Systems.<br>07/01/17 |
| 1 | 06/29/16 | TBD      | 1. Revised Effective Dates in Section A to replace the contents with "CIP-003-5 shall become effective at the same time that CIP-003-6 becomes | Approved Under NBEUB Matter 322<br><br>CIP-003-5 will be retired the day prior to the effective date of CIP-003-6 as                     |

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|--|--|--|-----------------------------|-----------------------------------------------------|
|  |  |  | effective in New Brunswick" | determined by implementation plan under Matter 325. |
|--|--|--|-----------------------------|-----------------------------------------------------|